

FORM PTO-1449

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U.S. Dept. of Commerce
Patent and Trademark OfficeAtty Docket No.
P1829R1Serial No.
09/863,101

LIST OF DISCLOSURES CITED BY APPLICANT

(Use several sheets if necessary)

Applicant
Mass, Robert D.Filing Date
18 May 2001Group
1642

U.S. PATENT DOCUMENTS

Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
Alt	319	6,582,919	24.06.03	Danenberg, K.			
COPY							

Examiner

Anne L. Sullivan

Date Considered

3/9/2005

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
ALH	320	2002/0064785	30.05.02	Mass, R.			
ALH	321	2002/0090662	11.07.02	Ralph, P.			
ALH	322	2002/0141993	03.10.02	Ashkenazi et al.			
ALH	323	2002/0142328	03.10.02	Danenberg, K.			
ALH	324	2002/0192211	19.12.02	Hudziak et al.			
ALH	325	2003/0059790	27.03.03	Jaffee et al.			
ALH	326	2003/0108545	12.06.03	Rockwell et al.			
ALH	327	2003/0134344	17.07.03	Mass, R.			
ALH	328	2003/0144252	31.07.03	Furr, B.			
ALH	329	2003/0152987	14.08.03	Cohen et al.			
ALH	330	2003/0157097	21.08.03	Noguchi et al.			
ALH	331	2003/0170234A1	11.09.03	Hellmann			
ALH	332	2003/0211530	13.11.03	Danenberg, K.			
ALH	333	2004/0037823	26.02.04	Paton et al.			
ALH	334	6,387,371 B1	14.05.02	Hudziak et al			
ALH	335	6,399,063 B1	04.06.02	Hudziak et al			
ALH	336	6,407,213	18.06.02	Carter et al.			
ALH	337	6,573,043	03.06.03	Cohen et al.			
ALH	338	6,602,670	05.08.03	Danenberg, K.			
ALH	339	6,627,196	30.09.03	Baughman et al.			
ALH	340	6,632,979	14.10.03	Erickson et al.			
ALH	341	6,719,971	13.04.04	Carter et al.			

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Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation Yes	No
ALH	342	WO 01/76630	18.10.01	PCT (ENGLISH ABSTRACT ON COVER)				
ALH	343	WO 01/89566	29.11.01	PCT				
ALH	344	WO 02/055106	18.07.02	PCT				
ALH	345	WO 02/45653	13.06.02	PCT				
ALH	346	WO 99/48527	30.09.99	PCT				

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, etc.)

ALH	347	Cobleigh et al., "Multinational study of the efficacy and safety of humanized anti-HER2 monoclonal antibody in women who have HER2-overexpressing metastatic breast cancer that has progressed after chemotherapy for metastatic disease" <u>Journal of Clinical Oncology</u> 17(9):2639-2648 (Sep 1999)						
ALH	348	Slamon et al., "Use of chemotherapy plus a monoclonal antibody against HER2 for metastatic breast cancer that overexpresses HER2" <u>New England J. of Medicine</u> 344(11):783-792 (Mar 15, 2001)						
ALH	349	Statement Regarding HERCEPTIN Clinical Trials pps. 1 (2004)						

Examiner

Wm. C. Holleran

Date Considered

3/9/2005

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